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ERWatt  
Attorney for Applicants

A circular stamp from the Patent &amp; Trademark Office. The outer ring contains the text 'PATENT &amp; TRADEMARK OFFICE' at the bottom and 'OIP' at the top. In the center, the date 'FEB 01 2002' is stamped. To the right of the stamp, the word 'ATTORNEY' is partially visible, suggesting it was part of a larger official document or filing.

Docket No. 1321.2.28.1

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For: METHOD OF TRANSPOSON-MEDIATED  
MUTAGENESIS IN THE NEMATODE  
CAENORHABDITIS ELEGANS

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

This Information Disclosure Statement discloses information which has come to the attention of applicants and/or their attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), this Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

Neither applicants nor their attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement

shall not be construed as a representation that a search has been made or as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98, this Information Disclosure Statement includes and is accompanied by:

1. A completed copy of Form PTO-1449 listing the patents, publications and other information being submitted for consideration; and
2. A legible copy of each patent, publication and other item of information in written form listed on the enclosed Form PTO-1449.

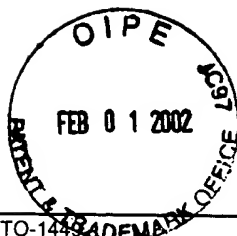
Respectfully submitted,

A handwritten signature in black ink, appearing to read "E R Witt", written over a horizontal line.

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Date: January 15, 2002

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Page 1 of 1

<b>FORM PTO-1449</b> <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (use several sheets if necessary)	<b>SERIAL NO.</b> 09/980,644	<b>ATTORNEY DOCKET NO.</b> 1321.2.28.1
	<b>FILING DATE</b> November 1, 2001	<b>GROUP ART UNIT</b>
	<b>APPLICANT(S):</b> Dr. Erik M. Jorgensen et al.	

**REFERENCE DESIGNATION**

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,051,430	04/18/2000	Plasterk et al.	435/462	05/07/1999

**NON-PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)
	A2	Fukushige, Tetsunari, et al., "Modulation of Gene Expression in the Embryonic Digestive Tract of C. Elegans." Developmental Biology, 178, 1996, Article No. 0218, 276-288
	A3	Gruidl, M.E., et al., "Multiple Potential Germ-line Helicases are Components of the Germ-Line-Specific P granules of Caenorhabditis Elegans," Proc. Natl., Acad. Sci. USA 93, 1996, 13837-42
	A4	Plasterk, Ronald, H. A. et al., " Targeted Alterations of the Caenorhabditis Elegans Genome By Transgene instructed DNA Double Strand Break Repair Following Tc1 Excision," The EMBO Journal vol. 11, no. 1, 1992, 287-80
	A5	Plasterk, Ronald H. A., et al., "Reverse Genetics of Caenorhabditis Elegans," BioEssays, Vol 14, No. 9, September, 1992, 629-633
	A6	Van Luenen, Henri G.A.M., et al. "The Mechanism of Transposition of Tc3 in C. Elegans," Cell, Vol. 79, October 21, 1994, 293-301.
	A7	Vos, Jan C., et al. "Transposase is the only Nematode Protein Required for in Vitro Transposition of Tc1," Genes & Development 10, 1996, 755-61

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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